

Research Paper

The Effect of Proprioceptive Neuromuscular Facilitation Exercise on Flexibility and Strength of Stroke Patients

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ABSTRACT

Purpose: Proprioceptive neuromuscular facilitation (PNF) stretching is considered a clinically effective way to enhance muscle properties; however, rare information exists about its effect on muscle flexibility and strength of the patients with stroke. To investigate the efficacy of PNF exercise on lower extremity muscle flexibility and strength after stroke.

Methods: Twenty-four men with stroke were chosen for the current study and assigned to two groups, PNF (n=12, mean age=59.3) and control group (n=12, mean age=58.7). Both groups received conventional rehabilitation three times a week for two months, while the PNF group received an additional 20-minute contract-relax (CR) method of PNF exercises during each session. Gastrocnemius and hamstring flexibility have been measured pre- and post-intervention by wall lunge and active straight leg raising (aSLR) tests, respectively. Additionally, hamstring and quadriceps strength has been measured pre- and post-intervention by a hand-held dynamometer. A 2×2 mixed repeated measure analysis of variance (ANOVA) was utilized to analyze data.

Results: Significant interaction of time x group was found for hamstring flexibility (F=33.24, P<0.01) and strength (F=23.86, P<0.01). Post hoc analysis indicated that aSLR (effect size=0.75) and hamstring strength (effect size=0.67) significantly increased in the PNF group. No significant interactions or main effects were observed for gastrocnemius flexibility and quadriceps strength.

Conclusion: The results indicate that eight weeks of PNF stretching improves hamstring muscle flexibility and strength in patients with stroke. However, future studies have to confirm the prolonged effects of PNF exercise.

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Highlights

- Proprioceptive neuromuscular facilitation (PNF) exercise increases the flexibility and strength of the hamstring muscle.
- No significant effects of the PNF exercise on gastrocnemius flexibility and quadriceps strength were observed.
- It seems that PNF exercise can be incorporated into rehabilitation programs in stroke patients, but further study is required to prove its prolonged effectiveness.

Plain Language Summary

Stroke is one of the main causes of disability and mortality in the world. The most common implications of stroke are muscle stiffness and weakness. Therapeutic exercise is extensively used to reduce post-stroke physical implications. The PNF is a beneficial technique to improve muscle function. The current study aims to assess the effect of PNF exercise on lower extremity muscle flexibility and strength in stroke patients.

Introduction

Stroke is one of the prevalent neurological issues in adults that compromises many aspects of life, such as quality of life (QoL) and functional capacity [1]. Despite improvements during inpatient rehabilitation, around 50–60% of stroke patients suffer long-term symptoms, such as weakness, atrophy, altered motor control due to denervation fatigability, remodeling disuse, and spasticity [2, 3].

Muscle weakness in the lower extremities and challenges in performing daily tasks are frequent issues following a stroke [4-6]. Furthermore, deficiencies in lower limb strength can hinder active engagement in various aspects of life for stroke survivors [7]. The inability of muscles to produce sufficient forces after a stroke can result in stretch reflex excitability [8, 9], increased co-activation of the antagonist muscle [10-12], reduced motor-unit firing rate [13, 14], and force deficits related to muscle length [15, 16]. Previous studies have demonstrated a strong and positive correlation between the strength of knee extensors on the paretic side and the strength of other muscle groups in stroke patients [4, 17].

Another complication following a stroke involves declined voluntary movement and decreased range of motion (ROM) of joints, frequently linked to increased resistance or stiffness, whether actively or passively. Stiffness can result from either “neural” or “non-neural” phenomena, including spastic dystonia, spasticity, soft-tissue fibrosis, and muscle contractures [18]. In stroke patients, the spasticity of plantar flexors can result in

limited dorsiflexion ROM and the absence of posterior sliding of the talus. Inadequate dorsiflexion ROM of the ankle causes abnormal characteristics of gait, such as reduced speed of walking, unsymmetrical weight-bearing in the paralyzed leg, decreased rhythm, and greater possibility of falls [19, 20], thus, participating in activities of daily living would be limited in individuals with stroke [19]. Additionally, tightness in the hamstring causes posterior pelvic tilt, decreased lumbar lordosis, hamstring injuries, small step length, dynamic balance, and mobility disorders [21, 22]. According to the results of a systematic review, the benefits of proprioceptive neuromuscular facilitation (PNF) intervention on spasticity are limited [23].

Different therapeutic methods are suggested to reduce the symptoms of stroke patients, including stretch and strength training [24-26], biofeedback [26], virtual reality [27, 28], and mirror therapy [29].

PNF is one of the stretching techniques used to enhance the elasticity of muscle and has been reported to be effective for passive and active ROM, peak torque, and muscle strength [30]. PNF is frequently used in therapeutic exercises for stroke patients as an alternative to progressive resistance exercise to avoid injury [31].

Previous studies have demonstrated that PNF exercises can improve sensory motor function [32], functional outcome and QoL [33], balance and walking ability [31], muscle tone, and stiffness [34] in stroke patients; however, rare information exists regarding the efficacy of PNF exercises on muscle flexibility and strength of stroke patients. Therefore, the current study was conducted to

Table 1. Demographic characteristics of subjects

Characteristics	PNF Group (n=12)	Control Group (n=12)	P
Age (y)	59.3±6.5	58.7±7.2	0.37
Height (cm)	171.4±9.4	169.2±8.5	0.41
Weight (kg)	72.6±7.8	73.4±8.2	0.78
Affected side (right/left)	9/3	8/4	1.00
Stroke type (infraction/hemorrhage)	10/2	11/1	1.00
Time since stroke (month)	28.3±4.2	26.7±6.4	0.19

PNF: Proprioceptive neuromuscular facilitation.

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examine the effect of PNF exercise on the flexibility of the gastrocnemius and the hamstring, and the strength of the hamstring and quadriceps muscles. We hypothesized that PNF exercises can increase flexibility and strength of lower extremity muscles in stroke.

Materials and Methods

Twenty-four male patients with stroke aged between 40 to 70 years participated in this study (Table 1). A sample size estimate was performed by G*Power software, version 3.1 and indicated that 24 subjects are necessary to reach an a priori power of 0.80. The participants were included in the study based on confirmation of stroke through magnetic resonance imaging (MRI) or computed tomography (CT) scans, occurrence of unilateral stroke, presence of gastrocnemius muscle tightness, ability to independently walk 10 meters, and achieving a score of 24 or more on the mini-mental state examination to ensure comprehension and ability to follow the researcher's instructions. Patients with cognitive disorders, inability to execute tests or other chronic health conditions were excluded from the study. They were then randomized using a random number generator into two groups, a control group (n=12) and a PNF group (n=12), which received PNF exercises (Figure 1). Subjects signed written informed consent to attend to the study.

Intervention

All patients performed their conventional rehabilitation, including mobilization, balance, strengthening, ambulating, and gait training for 30 minutes, and three times weekly. The PNF group additionally received the contract-relax (CR) technique [30] of PNF stretching for gastrocnemius and hamstring muscles for 20 minutes and three times weekly. For PNF stretching of the gastrocnemius muscle, the participant was placed in a lying back position, and the therapist moved the ankle in the

direction of dorsiflexion to the point of discomfort. Then the patient performed maximal isometric contraction of the gastrocnemius muscle against therapist resistance and maintained this position for 5 s. After holding the isometric contraction, the therapist asked the patient to relax for 10 s and the foot was repositioned in the new range. Then the foot was moved to the new barrier point and the procedure was repeated.

During hamstring PNF stretching, the participant was positioned lying back, and the therapist raised the hip joint to the point of discomfort while maintaining full extension of the opposite hip and knee joints, as well as the ipsilateral knee joint. Then, the patient engages in a maximum isometric contraction of the hamstring for 5 s, followed by a 10-s rest period. Afterward, the therapist increases the hip flexion range. PNF stretching is exclusively performed on the paretic side, with four sets of PNF stretching for each muscle, separated by 30 s rest intervals [35].

Gastrocnemius and hamstring flexibility measurement

Weight-bearing lunge test has been applied to evaluate dorsiflexion ROM using a tape measure stoke on the ground and wall. A vertical line has been drowned on the wall perpendicular to the tape measure. Patients were instructed to face the wall and then perform forward lunges until their forward knee touched the wall while keeping the calcaneus of the tested leg on the floor. Then the examiner measured (cm) the distance between the wall and the tip of the big toe. The distance can be increased since the patients can touch the wall with the knee while keeping the heel placed on the floor [36]. The test was performed three times for each patient and the best-recorded trial was used for analysis.

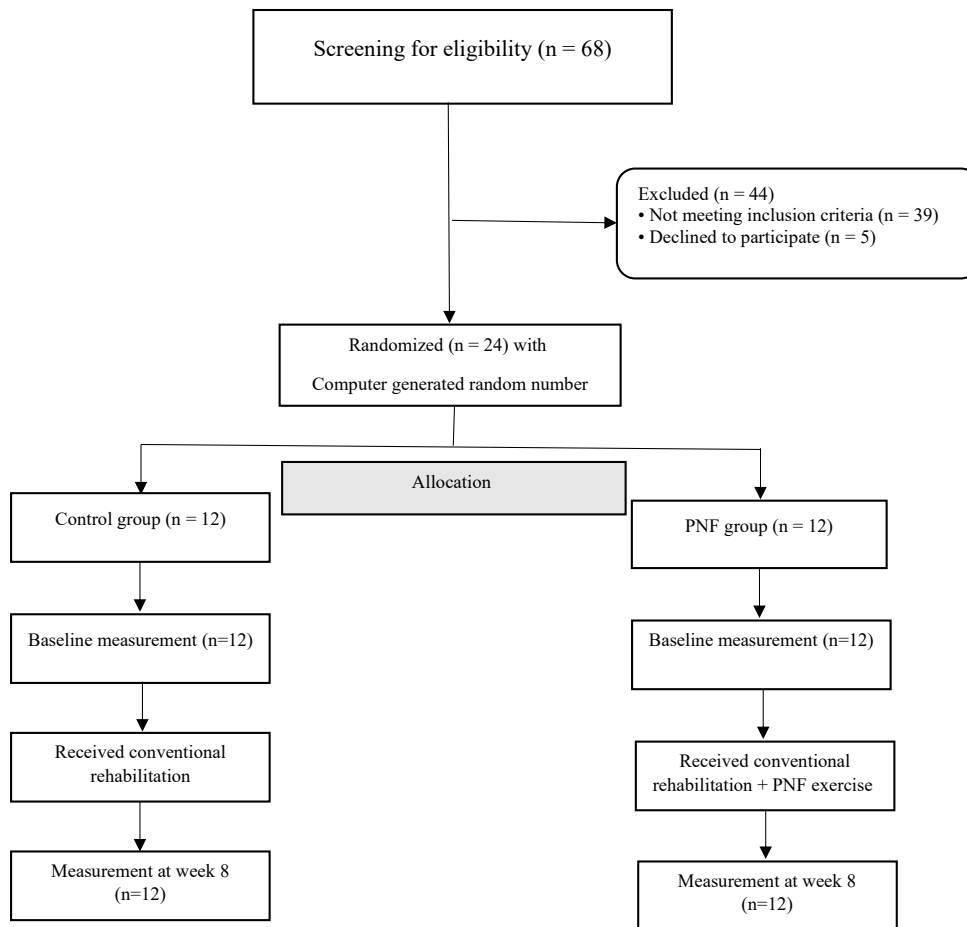


Figure 1. Study flowchart

PNF: Proprioceptive neuromuscular facilitation.

The weight-bearing lunge test demonstrated acceptable inter-rater reliability ($R=0.99$, 95% CI, 0.97%, 0.99%) [36] and excellent intra-rater reliability, with intraclass correlation coefficient (ICC) values ranging from 0.98 to 0.99 [36, 37].

For the assessment of hamstring flexibility, the active straight leg raise (aSLR) test was utilized. During this test, the patient is placed in a lying back position and instructed to bend the hip joint until further flexion becomes unbearable. Throughout the maneuver, the same knee joint and opposite hip and knee joints are kept in the extended position to avoid the posterior tilt of the pelvic. The examiner measured the angle with a goniometer [38]. The intraclass correlation coefficient (ICC) reported for the aSLR test ranges from 0.93 to 0.97, indicating excellent intra-rater reliability [39].

Knee flexors and extensors strength measurement

The hand-held dynamometer (Commander Echo™ Muscle Testing Dynamometer, JTECH Medical Corp, USA) was used to assess the strength of knee flexors and extensors. The participant seated on the edge of the table while the feet and back were free to move, the hip and knee joints were kept to 90° flexion, to stabilize the body and the hands grasping the sides of the table, and the examiner held the dynamometer on the anterior/posterior of the leg above the ankle. First, three familiarization trials (submaximally) were performed and then, three maximal testing trials were implemented. Each measurement lasted for 5 s and was followed by a 30 s rest time. The force was increased slowly by the participant during a three to five-second max effort push into the dynamometer against the examiner’s resistance. The examiner provided verbal encouragement to ensure that maximal effort was achieved [40].

Table 2. Effect of PNF exercise on flexibility

Variables		Mean±SD	Time Effect	Group Effect	Interaction Time×Group
Dorsiflexion ROM (°)			0.12	0.90	0.06
PNF	Pre	25.66±4.61			
	Post	27.5±3.9			
Control	Pre	25.91±4.58			
	Post	25.83±4.33			
aSLR test (°)			0.001	0.001	0.001
PNF	Pre	45.25±10.55			
	Post	53.91±9.27			
Control	Pre	43.58±8.58			
	Post	44.53±10.12			

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Abbreviations: ROM: Range of motion; PNF: Proprioceptive neuromuscular facilitation; aSLR: Active straight leg raising.

Statistical analysis

The normal distribution of data was verified by the Shapiro-Wilk test. To analyze the impact of PNF stretch on flexibility and strength, a 2×2 mixed-model repeated measures analysis of variance (ANOVA) was conducted, with time as the within-subject factor and group (experimental and control) as the between-subject factor. Additionally, univariate tests, including independent and paired t-tests, were conducted to determine group differences in the presence of significant interactions and main effects. Statistical analyses were conducted with SPSS software, version 27 (IBM Corporation) and the significance level was set at P<0.05.

Results

Table 1 presents the patients' characteristics, including age, height, weight, stroke type, affected side, and time since stroke. No significant difference was observed in patient characteristics.

Flexibility

The significant effect of time (F=63.57, P<0.01) group (F=37.46, P<0.01) and interaction of time×group (F=33.24, P<0.01) was observed for hamstring flexibility. Follow-up tests showed that significant increase of the aSLR (effect size=0.75) in the PNF group. No significant interactions or main effects were found for gastrocnemius flexibility in the wall lung test (Table 2).

Strength

A significant effect of time (F=54.85, P<0.01) and interaction of time×group (F=23.86, P<0.01) was observed for hamstring strength. Follow-up tests revealed a significant increase in hamstring muscle strength (effect size=0.67) in the PNF group after exercise. Interactions or main effects were not significant for quadriceps strength (Table 3).

Discussion

The current study was conducted to examine the effectiveness of PNF exercise on flexibility and strength in stroke patients. We hypothesized that PNF exercise increases the flexibility and strength of the patients after stroke. Our results only support the effect of PNF exercise on hamstring muscle flexibility and strength.

The aSLR increased after PNF exercise in stroke patients, indicating increased flexibility of hamstring muscle after PNF exercise. Hamstring flexibility is a crucial physiological factor and poor hamstring flexibility can result in injuries, musculoskeletal dysfunction, and decreased physical function [41]. Previous studies have demonstrated that neurodynamic sliding technique [42] and dynamic soft tissue mobilization [41] increase hamstring flexibility in stroke patients; however, little knowledge exists regarding the effect of the PNF exercise on hamstring muscle flexibility after stroke. Four theories exist about the effect of PNF contract-relax (CR) method

Table 3. Effect of PNF exercise on strength

Variables		Mean±SD	Time Effect	Group Effect	Interaction Time×Group
Hamstring (kg)			0.001	0.38	0.001
PNF	Pre	11.41±4.78			
	Post	14.66±4.9			
Control	Pre	10.75±3.58			
	Post	11.74±4.47			
Quadriceps (kg)			0.23	0.95	0.37
PNF	Pre	15.50±2.80			
	Post	16.25±3.60			
Control	Pre	15.83±3.21			
	Post	15.91±3.46			

PNF: Proprioceptive neuromuscular facilitation.

to improve ROM, including reciprocal inhibition, auto-genic inhibition, gate control, and the stress relaxation theory [43, 44]. These mechanisms involve reflexes triggered by Golgi tendon organs (GTOs) located in the tendons of the target muscle (TM) or the antagonist muscle to the TM, detecting detrimental stimuli. In this study, we utilized inhibition of the TM (hamstring) to enhance the ROM. In the CR method, the isometric contraction of the TM leads to autogenic inhibition within the TM, reducing its excitability due to inhibitory signals from GTOs. GTOs serve a protective function, inducing relaxation of the TM, which leverages the viscoelastic properties of the musculotendinous units. This allows for “creeping” of the muscle and facilitates further stretching of the TM, thereby contributing to the observed increases in ROM and flexibility resulting from PNF stretching [43]. The effect size for increasing hamstring muscle flexibility was 0.75, which indicates a strong effect based on Cohen’s d [45].

The results of this study indicated a significant increase in knee flexor strength after PNF exercises. Muscle weaknesses of the lower limbs are the most common sign after stroke [46]. The mechanism of changes in the structure and function of the hamstring group after stroke can be due to the retention of neuromuscular connection coupled with reduced neuromuscular activation and muscular unloading. Hence, hamstring group weakness results from neural, structural, and mechanical changes in this group of muscles [47]. Previous studies investigated the effects of resistance training [48, 49], electrical stimulation cycling [50], and sit-to-stand training [51,

52] on plantar flexors and knee extensor strength in individuals with stroke. However, it has been indicated that PNF exercise can be a good replacement for resistance training in improving muscle strength [30, 53]. The results of the present study can add extra support for the possible role of PNF exercise in improving knee flexor strength after stroke. The PNF technique relies on using a fusional stimulus to activate neural muscle receptors, which in turn increase the activation of motor units in series, leading to enhanced muscle strength [54].

No significant change was observed in gastrocnemius flexibility and knee extensor strength after PNF exercise. It has been demonstrated that the effect of stretching exercises varies according to the joint. Thus, it is not possible to explain the functional effects between different joints [55]. In addition, each muscle group responds differently to stretching based on the level of stiffness. Studies reported a greater level of gastrocnemius (especially medial gastrocnemius) stiffness in stroke patients [56, 57], this could explain why our PNF exercise did not change the gastrocnemius muscle flexibility.

This study had some limitations. We had a small sample size in each group which could affect our results. We only used hamstring muscle isometric contraction (CR) to improve the ROM. Other PNF methods may have different results. Additionally, other functional tests may better reflect lower extremity flexibility and strength. Moreover, studies with long-time follow-up are required to evaluate the sustainability of the PNF exercises. Final-

ly, the current study recruited only male stroke patients and the results cannot be generalized to women.

Conclusion

The study's main discovery is that an 8-week PNF stretch program can boost hamstring flexibility and strength in stroke patients, even after 2 years. This suggests that including PNF stretching exercises in the rehabilitation of stroke survivors can benefit their hamstring muscle properties.

Ethical Considerations

Compliance with ethical guidelines

This study was approved by the Institutional Ethics Committee of Shahrood University of Technology (Code: IR.SHAHROODUT.REC-1402.22). The subjects provided informed consent to participate in this research.

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Authors' contributions

Supervision and data analysis: Komeil Dashti Rostami and Mahdi Nabavi Nik; Writing-original draft, review and editing: Komeil Dashti Rostami; Data collection: Shahram Rezazadeh; Conceptualization and Methodology: All authors.

Conflict of interest

The authors declared no conflict of interest.

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